DOE’s Compliance Certification Management System
December 8, 2015
Before distribution in commerce, manufacturers must submit a report for each basic model of covered equipment subject to an energy conservation standard.

Reports certify that each basic model meets the applicable energy conservation standard.

Reports provide both general and equipment type specific information about each basic model.

Manufacture means to manufacture, produce, assemble, or import.
Certification

• After the initial report, manufacturers must certify annually to maintain up-to-date efficiency information and a comprehensive list of models being sold
  – No additional testing is required for annual certification

• A manufacturer may elect to use a third party to submit the certification report to DOE (e.g., a trade association, independent test lab, or other authorized representative)
  – Manufacturer is still legally responsible for the certification report
• DOE’s certification process is completely electronic

• On DOE’s CCMS website manufacturers can:
  – Register
  – Download certification report templates
  – Submit completed certification reports and other forms
  – Track submissions

• On DOE’s CCMS website other parties can:
  – Review the list of products that have been certified
  – Compare efficiencies and other product features
COMPLIANCE CERTIFICATION MANAGEMENT SYSTEM (CCMS)

WELCOME TO THE COMPLIANCE CERTIFICATION MANAGEMENT SYSTEM (CCMS), MANAGED BY THE DOE APPLIANCE STANDARDS PROGRAM.

This on-line system permits manufacturers and third party representatives to create, submit and track certification reports using product/equipment specific templates. All templates include the compliance statement and certification report on a Microsoft Excel spreadsheet. CCMS will automatically record the date and time of your submission and forward it to the appropriate office in the Building Technologies Program. You can use the system to track the status of your submissions and communicate with the program office.

The STATUS field has been modified. Status values such as “Filed” and “Pending” were for DOE internal tracking purposes only and were causing confusion. Accordingly, the status will now only show “New” for new submissions or “Received” for submissions that have been processed into the system.

Send this —
Certification Reports

• Certification reports are equipment specific Excel templates
Compliance Certification Database

- DOE validates every CCMS submission
- Once a submission is processed public certification information is displayed on the Compliance Certification Database
- Only models that pass validation are displayed on the public Compliance Certification Database
- DOE does not review test data for each submission prior to publishing validated information on the public site
- Listing on the public site does not necessarily mean the product is compliant.
Compliance Mechanisms

- **CCMS validation**
  - DOE follows up on all models that do not pass validation
  - “Validation” is primarily a check for basic compliance with data requirements

- **Test data reviews**
  - DOE requests test data from manufacturers and reviews it to assess compliance with DOE certification requirements and energy conservation standards

- **Surveillance testing**

- **Product and Certification email addresses**
  - DOE answers regulatory questions to improve compliance

- **Enforcement email address**
  - DOE investigates all public complaints
• DOE’s certification regulations: http://www.ecfr.gov/cgi-bin/retrieveECFR?gp=&SID=92ba5baffe061f5f0837fc6cb2d648cf&r=PART&n=pt10.3.429

• CCMS webpage: https://www.regulations.doe.gov/ccms/

• Certification templates: https://www.regulations.doe.gov/ccms/templates

• Public compliance database: http://www.regulations.doe.gov/certification-data/
Contact Information

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