

# Data Collection and Validation in the Energy and IPPU in the UK

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# Overview

- The UK GHG inventory uses data from a range of published and unpublished sources
  - Largest data sources of Energy and IPPU data: DUKES and EU ETS
- Each year, several hundred requests are sent out:
  - Government Departments
  - Statistics agencies
  - Plant operators
  - Environmental regulators
  - Trade associations
- This presentation gives an overview of the key data sources
- In all cases, the data providers are asked to provide information on their data sources, quality systems, estimates of uncertainty in the data provided
- → to inform the inventory uncertainty analysis and identification of improvement priorities



# Energy

- The Digest of UK Energy Statistics (DUKES) the UK energy balance. Derived from comprehensive reporting of fuel production, import/export and supply, based on data from energy companies and UK import-export statistics. The UK has long-established systems of data supply, statutory reporting requirements and data checking.
- EU-Emissions Trading System installation-level data. Analysis of data from >1000 installations in EUETS provides detailed data on carbon emission factors and NCVs, and is used to finesse / verify energy allocations within DUKES.
- The Pollution Inventory installation-level data provided via the UK regulatory agencies for IED/IPCC. Analysis of data from >5000 installations that report under IED/IPCC, and is used to estimate fugitive releases and emission factors for industrial processes.
- Other data such as:
  - BEIS Offshore Inspectorate, Trade Associations, Department for Transport, Gas network operators



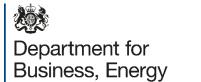
# **Industrial Processes**

### **LEGAL BASIS (Statutory mechanisms)**

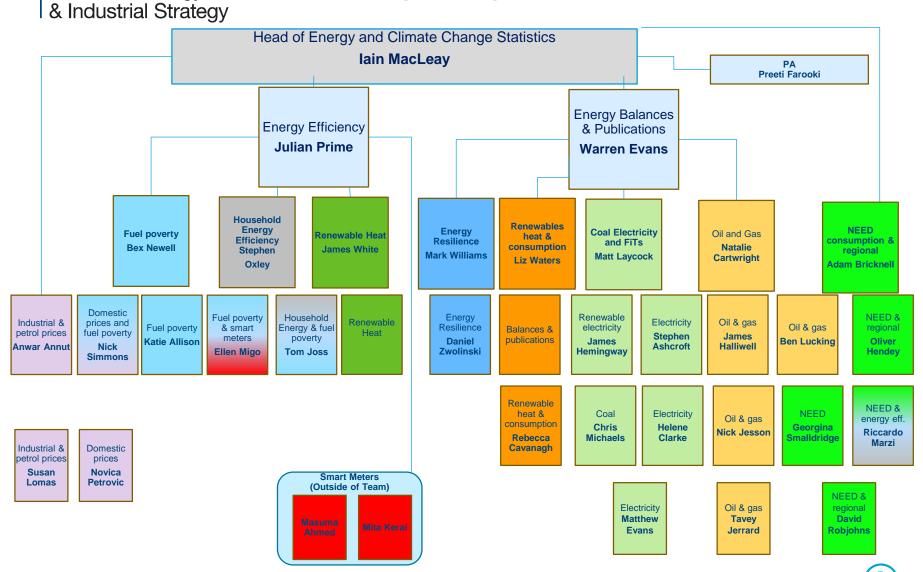
• The Pollution Inventory installation-level data provided via the UK regulatory agencies for IED/IPCC. Analysis of data from >5000 installations that report under IED/IPCC, and is used to estimate fugitive releases and emission factors for industrial processes.

### DATA SUPPLY AGREEMENT / LONG-STANDING INFORMAL AGREEMENTS

- Data from trade associations:
  - The Mineral Products Association activity data for cement production
  - The British Geological Survey activity data for lime production
  - Tata Steel, SSI, ISSB activity data and emissions for iron and steel.
- Data from individual plant operators, such as:
  - Nitric acid producers
  - Aluminium producers
  - F-gas producers and users

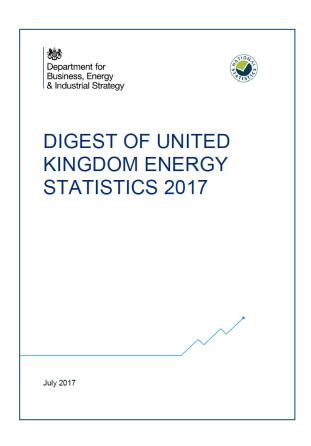


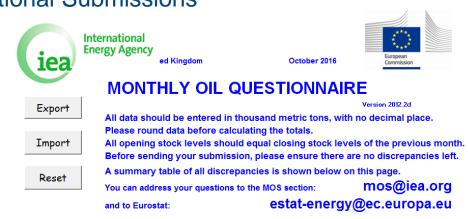
# **DUKES Team**





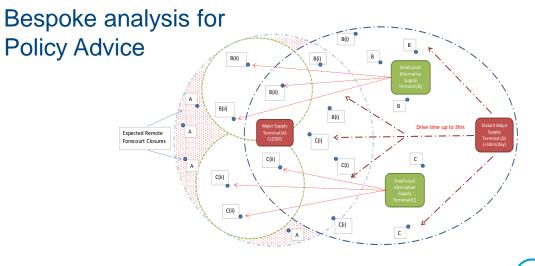
# Publications International Submissions





Role of DUKES Statistics

A summary of main discrepancies found in the tables:





# **UK National System for Data**

UK relies on a mixture of existing environmental and energy legislation, and informal arrangements.

- Legislation (non GHGI specific)
  - Statistics of Trade Act (UK energy statistics from BEIS)
  - Environmental Permitting (England and Wales) Regulations 2010

Permitting of industrial processes

Process operator required to provide data to regulatory agencies, for example annual site emissions data

- Legislation (GHGI specific)
  - Greenhouse Gas Emissions Trading Scheme Regulations 2012
  - National Emissions Inventory Regulations 2005
- Data Supply Agreements (DSAs)
  - Agreements formalise the acquisition of data and clarify the main requirements of quality, format, security and timely delivery of data for preparation of the inventory
  - DSAs in place with the Scottish Environment Protection Agency (SEPA), Northern Ireland Environment Agency (NIEA), and Department for Transport (DfT)



# Data Supply Agreements

		Annual Data	a Requirements
Data Required	Key Data Provider	Deadline each year	Comments
Access to the SEPA SPRI inventory for previous years data	SEPA	15 August*	Electronic version of Scottish Pollutant Release Inventory (SPRI), including emissions where below reporting threshold. Could you please include site details such as address, post codes, grid references and permit numbers please.
Previous years EU-ETS installation- specific fuel use and characterisation data for all sites in Scotland	SEPA	15 August	EU ETS activity data, calorific values, carbon factors, oxidation factors and carbon emissions by fuel and installation for fossil fuels, 2005-onwards  EU ETS activity data, calorific values, carbon factors, oxidation factors and carbon emissions by fuel and installation for bio fuels, 2005-onwards  EU ETS activity data, carbon factors, and carbon emissions by installation for process emissions, 2005-onwards  Note: Confidentiality of data will be respected and any issues that prevent the data being provided these will be highlighted at the earliest opportunity and aggregated data provided where applicable

Standard table regarding data processes and quality checking used in all DSAs





Example table of data required, taken from the SEPA DSA

1. How are the data that you provide compiled by your organisation?

e.g. "Data are compiled using company systems for financial / energy / emissions data reporting for regulatory / company performance monitoring." "Data are aggregated from across X operating sites, provided by site SHE managers."

Do you conduct any data quality checking, and if so, could you provide an outline of the process?

e.g. "Data are sense-checked against annual data from last year, for each site and overall.", "We have a checklist of sites / emission sources /companies that we use to ensure that all data are included.", "Emission and energy use estimates are benchmarked against production output at each site.", "Our organisation has a quality assurance system accredited to ISO9001 and ISO14001, and these data fall within the scope of that accreditation."

What is your estimate for the level of uncertainty associated with the data that you provide to the NAEI/GHGI?

e.g. "Our carbon dioxide emission estimates are within a 2% error margin, due to the close control over fuel quality." "Our estimates for pollutant X stem from emission monitoring trials which have an uncertainty on the standard method cited as plus or minus 15%.", "We are reliant on data from many other third parties and are unable to provide a reliable estimate for the data uncertainty."



### Data collection

### Linked spreadsheets.....



And MS Access Databases, SAS, & SPSS



# Quality assurance

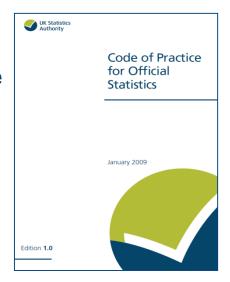
### Internal assurance

Standard t-test for the revisions	S	Adjusted t-stat for the revisions						
Number of observations (n)	44	First order of autocorrelation (a) of revisions	0.181					
Mean of the revisions (m)	33	Adjusted variance of the revisions	39,776					
Variance of the revisions (s2)	27,595	Number of independent observations (n*)	42					
t-statistic	1.315	t-adjusted	1.095					
t-critical(±)	2.017	t-adjusted critical(±)	2.018					
Test significant at 5% significance level?	No	Test significant at 5% significance level?	No					

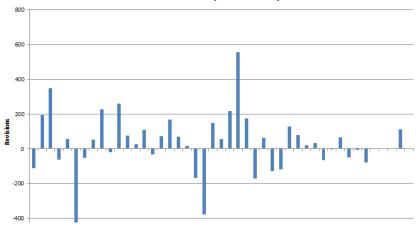
Positive and Negative Revisions	Size of Revisions							
Revisions greater than zero	28	Largest negative revision	-446					
Revisions less than zero	16	Largest positive revision	556					

# External assurance

Size of Revisions	Frequency
-500 to -400	1
-399 to -200	1
-199 to -100	5
-99 to 0	9
1 to 100	16
101 to 200	7
201 to 300	3
301 to 400	1
401 to 500	0
500+	1



#### Revisions from January 2014 to July 2017



Auditing and engagement processes





# Engagement processes





Main balance	Opening stocks (-duise modification nasti)	Imports	UK Receipts of indigenous offs	Gress receipts from all companies	Refinery input and production	Primary oil to product transfers	Finished products to foodstocks	later product transferr	Statistical difference	flefinery fuel use	Great deliveries to reporting companies	Deliveries to non- reporting companies	of which: Entiresies to life of Man and Channel Islands	Own final uce and delineries to final concumers	Internationa I marine bunkers	Direct	Clering stecks
Crude Olfz	025,500	556,766	0	0	[554,010]				2,500			0				0	324,593
Condensate			0		0					0	0	0				0	
Other NGL			0	0	0				0	- 0	0	0		VIII.		0	- 0
Gazolina Feedstocks	6,712	1,779			296				87			0	0	0			8,790
Middle Oktifiste Fredstocks												0					
Other Relinery Feedstacks	33,434	4,162		0	3,371			(4,052)	0		0	0		0		0	34,065
AdditivedOuygenates		0			0				0							0	0
Other Hydrocarbons																	
Refinery Gaz		0			10,233				0	90,833	0	0		0		0	
Ethane		0		0	0				0	0	0	0	0	0		0	0
Propone	1,240	0			6,000		l			0		1,506	0		200000000	5,739	790
Dutane	2,640			0	6,134				(14)		0	205		0		7,957	1,247
Nightho		0		0	(8,012)			8,312	0			0	0	0		0	0
Motor Georgine	193,539			3,242	178,500		1	(4,250)	[637]				0	32,547	2001///00	144,705	70,000
Monor Gazolino Bio Components				0	0		1		0	10000000	0	0		0	200000000000000000000000000000000000000	0	0
Other Motor Gazoline Blending Components		0		0	0				0		0	0	0	0		0	0
Motor Gazoline Total	103,133			3,242	178,503	3//////////////////////////////////////		[4,260]	[637]			0		32,547		144,706	110,008
Aristian Garoline		0			0								0				
Gacoline Type Jet Fuel		0			0	William III	1			Comment of the second		0				0	
Kensoone Type Jet Fuel	25,877				(1,251)		1		(3)			0					24,723
Kessome Type Jet Fuel Bis Components		0		0	0		1		0	20000000	0	0		0		0	
Other Kerozene Type Jet Fuel Blending Comp	100004			0	0		3		0					0		0	
Other Kerozene	1,563				63,895		1		(886)	( ) ( ) ( ) ( ) ( ) ( )	14,954	20,385		11,602		16,630	2,503
Transport Diesel	53,435			3,403	166,710		1		(3.860)			0	0	62,132	2000///000	165,142	24,229
Diesel Bio Components				0	0		1		0	W	0	0		0		0	0
Other Diezel Blanding Components					0				0			0				0	
Automotive Diesel Total	52,435			2,482	186,730				(3,868)						200000000000000000000000000000000000000	155,142	35,228
Gas Gil	701	0		0	11,714				(5,532)			0		15,003			2,633
Fuel Oil location the culphus	45,365	0			55,051				(84)	10,469		0				105,361	20,265
Fuel Oil: 1% religious on more					0		1		0			0		0		0	
Fuel Oil Yetel	46,965				35,451			0	(92)	13,489						100,361	20,240
White Spirit and SEP		0					1								2001////		
Lubricanty					0		3		0			0		0		0	0
Bitsmen				0	0				0	0000000		0		0		0	0
Paralin Vaces				0	0		3		0	0	0	0		0		0	0
Patroleum Coke		0		0	8,840				0	0,042	0	0		0		0	0
Other Products	76			0					0	0	0	0		0		0	83
GRAND TOTAL	601,605	542,537		16,731	7,264	0		0	(4,385)	32,565	14,354	22,276		117,670		437,393	568,824







Department for Business, Energy & Industrial Strategy



### Internal assurance

### Team balances

### A suite of events

- Trend analysis & outlier detection
- Company consistency
- Cross-verification
- Statistical Differences



### External assurance

### **Corroboration**

### Company financial reports

Independent market assessments (e.g. Cornwall energy)

Publically available data (e.g. National Grid Entry Points)

International comparisons (e.g. trade flows)

### External challenge function

The UK Statistics Authority is responsible for the assessment of the National Statistics 'tick mark'

Whilst this is an ongoing assessment (e.g. of our behaviours) there are a range of one-off assessment exercises undertaken which take a deep dive into our processes.



# Audit processes



Audit and Enforcement Policy for Compulsory Oil Stocking Obligations

August 2015

- For all substantial suppliers of oil
- 'Regular' on site visit
- Selection of random cells from Downstream Oil Return
- Seek corroboration from source: e.g. tank logs, bills of lading, invoices



# Scope of EUETS vs. GHGI



**EU ETS** 





Agriculture



Waste

Small industry





Business and Public

Domestic

Sector



Current position

Transport.



Refineries **Power Stations** Oil and Gas Produ Solid Fuel Product

> Large Industrial Processes: Chemical Cement

Integrated steel





# 2017 DUKES publications

- Digest of UK Energy Statistics 2017 main report:
  - https://www.gov.uk/government/statistics/digest-of-uk-energy-statisticsdukes-2017-main-report
- Digest of UK Energy Statistics 2017: long-term trends:
  - https://www.gov.uk/government/statistics/digest-of-uk-energy-statisticsdukes-2017-long-term-trends
- Digest of UK Energy Statistics: energy sector
  - <a href="https://www.gov.uk/government/statistics/energy-chapter-1-digest-of-united-kingdom-energy-statistics-dukes">https://www.gov.uk/government/statistics/energy-chapter-1-digest-of-united-kingdom-energy-statistics-dukes</a>